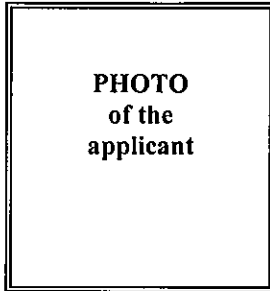


THE LAW SOCIETY OF HONG KONG



FOR OFFICE USE ONLY
Application No:
Date received:
Fees received:

APPLICATION FOR REGISTRATION AS A FOREIGN LAWYER

Applicant should read the "Information for Registration as a Foreign Lawyer" before completing this Form

PART A - NAME AND IDENTIFICATION

1. Title: _____ (e.g. Mr./Mrs./Miss/Ms.)

2. Name: _____
Surname Given Names in full (As stated in passport)

3. Date of Birth: _____
day month year

4. Place of Birth: _____
City/State Country

5. Passport: _____
Country/Place of issue Number Date of expiry

6. Citizenship: _____
Country

7. Hong Kong Identity Card No: _____ (if any)

Please enclose a certified copy of your passport and Hong Kong Identity Card (if any).

8. State any changes of name, formal or informal, or other surnames or given names you have used, and when:

If the change in your name was made by a Court order, deed poll or marriage, attach a copy of the order. If not, please enclose a statutory declaration stating that the different names used refer to the same person.

PART B - ADDRESSES

9. Present Business Address: _____
 (including Firm's Name, if any) _____
 Fax No: _____ Telephone No: _____
10. Present Residential Address: _____

 Fax No: _____ Telephone No: _____
11. (a) Correspondence Address: _____

 (b) Email Address: _____

PART C - PROFESSIONAL STANDING AND EXPERIENCE

12. List chronologically each Law Society or other Governing Body of which you are now, or ever have been a member.

Law Society or Governing Body	Address	Date of Call or Admission	Present Status		
			Active	Retired, honorary or non-practising	if ceased, date of cessation
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	_____
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	_____
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	_____
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	_____
_____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	_____

13. State the jurisdiction on which the application is based: _____
14. Are you qualified to practise the law of the jurisdiction on which the application is based? Yes No

Please enclose a certified copy of your current Practising Certificate from that jurisdiction.

15. Do you have at least 2 years of post-qualification experience in the full-time practice of the law of that jurisdiction?
 Yes No I will be supervised by _____
 (name of the supervisor), qualified in _____ (jurisdiction) with
 attached supervision proposal containing particulars as stated in Circular 10-66 (SD).

16. Are you a member in good standing of that jurisdiction?
 Yes No

Please enclose a certified copy of your Certificate of Good Standing issued by the relevant Law Society or Governing Body. The Certificate of Good Standing is not valid for the purpose of this application if the date of its issue is more than 4 months from the date at the time received by the Secretariat of this Society. If you were admitted in more than one jurisdiction, you must submit a Certificate of Good Standing from each jurisdiction.

17. State your complete employment history whether as an employee or self-employed for the 10-year period* immediately preceding the date of this application:

Name of Law Firm or other Employer	Address	Position	The law of the jurisdiction in which you practised	Month & Year of Employment	
				From	To
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____
_____	_____	_____	_____	_____	_____

* Please provide the reasons for any gap between periods of employment.

18. It is intended that you be employed:

- (a) by a Hong Kong firm
- (b) by a foreign firm

19. Have you ever been refused admission to, been disciplined by or been disbarred or struck off from membership in a Law Society or other Governing Body?

Yes No

20. Have you ever resigned from a Law Society or other Governing Body in exchange for disciplinary proceedings not being taken against you?

Yes No

21. Are you aware of any complaint or charge or disciplinary proceeding pending against you in your professional capacity, which has not yet come to the attention of your Law Society or Governing Body, which might result in disciplinary action being taken against you? Yes No

PART D - PROFESSIONAL LIABILITY INSURANCE

The Council of the Law Society has resolved that with effect from 1 July 2006 the policy will be required to provide indemnity of not less than HK\$10 million in respect of each and every claim (inclusive of costs) and with no aggregate limit. Indemnity shall also be provided against loss occasioned by the fraud or dishonesty of employees of the firm other than that occurring as a result of recklessness or dishonesty or a fraudulent act or fraudulent omission by the principal in the conduct or management of the practice.

22. Name of Insurer: _____
23. Address of Insurer: _____
24. Policy No: _____
25. Policy Period: From _____, _____ to _____, 20____.

[Under Rule 6 of the Foreign Lawyers Registration Rules, a foreign lawyer's Certificate of Registration shall be deemed to have been suspended if his or her professional liability insurance coverage is discontinued or reduced. A foreign lawyer who has been issued a registration certificate has a continuing obligation to inform the Law Society of the cancellation of, or any significant change to, his

or her liability insurance coverage.]

26. Limits of Liability:

(a) for each claim arising out of a single act, error or omission or a series of related acts, errors or omissions: HK\$ _____

(b) inclusive of costs? Yes No

(c) aggregate limit nil Yes No

27. Amount of deductible per claim: HK\$ _____

28. Does the insurance coverage extend to cover dishonesty and fraud committed by all employees of the firm? Yes No

Please enclose with your application documentary evidence that you are insured as set out above for services rendered by you while acting as a foreign lawyer in Hong Kong.

PART E - GOOD CHARACTER

If you answer "yes" to any of the questions in this Part, **give full particulars** on a separate sheet, including the applicable dates, places, nature of acts or offence, and penalties.

- | | <u>Yes</u> | <u>No</u> |
|---|--------------------------|--------------------------|
| 29. Have you ever been convicted, of any crime or offence under a statute or ordinance punishable by imprisonment or a fine over HK\$5,000.00 or its equivalent? | <input type="checkbox"/> | <input type="checkbox"/> |
| 30. Have you ever, or has any company or partnership of which you are or were a director, officer or employee, been the subject of bankruptcy or compulsory winding up proceedings? | <input type="checkbox"/> | <input type="checkbox"/> |
| 31. Have you ever been disqualified as a director of a company? | <input type="checkbox"/> | <input type="checkbox"/> |
| 32. Have you ever been a defendant in any civil action in which allegations of fraud, theft or misrepresentation were made against you? | <input type="checkbox"/> | <input type="checkbox"/> |
| 33. Is there, at the present time, a civil action or a civil judgment outstanding against you? | <input type="checkbox"/> | <input type="checkbox"/> |
| 34. Have you ever failed to obey a Court order? | <input type="checkbox"/> | <input type="checkbox"/> |
| 35. Have you ever been imprisoned for failing to obey a Court Order? | <input type="checkbox"/> | <input type="checkbox"/> |
| 36. Have you ever been denied, or had revoked, a licence or permit the procurement of which required proof of good character? | <input type="checkbox"/> | <input type="checkbox"/> |

PART F - REFERENCES

If you wish to establish a foreign firm which is not a branch of a firm whose principal place of business is outside Hong Kong, two references must be provided on the approved Law Society form:

37. Names of Referees (a) _____
Name

Address

Position Telephone Fax
- (b) _____
Name

Address

Position Telephone Fax

PART G - FUTURE PLANS

38. If your application is approved, when do you intend to commence practising as a foreign lawyer in Hong Kong? _____

Please enclose a confirmation letter of employment from your employer indicating your intended date of commencement of practice.

39. Address in Hong Kong:
- (a) Name of Firm: _____
- (b) Address: _____
- (c) Telephone: _____
- (d) Fax: _____
- (e) Email address: _____
- (f) Position: _____
(e.g. Registered Foreign Lawyer / Foreign Legal Consultant, etc.)

PART H – ASSOCIATE MEMBERSHIP

Do you wish to apply for associate membership? Yes No

DECLARATION OF APPLICANT

I, (full name) _____, DO
SOLEMNLY DECLARE THAT:

1. I am the applicant described in this Application.
2. I wish to be registered with the Law Society of Hong Kong as a foreign lawyer to practise * _____ law in Hong Kong.
3. I have personal knowledge of the information I have added in completing this Application, and that the information is true, accurate and complete;

and I make this solemn declaration conscientiously believing it to be true and knowing that it has the same legal force and effect as if made under oath.

Declared before me at _____
(City)
_____, this _____ day of
(Country)
_____, 20 _____.

Notary Public, Commissioner, or other person
authorised by the *Oaths and Declarations Ordinance*
to take declarations.

Signature of Applicant

Name in full: _____

* Insert the jurisdiction on which this application is based.

APPLICANT'S UNDERTAKING AND AUTHORIZATION

I, (full name) _____, the applicant in this Application apply for permission to practise as a foreign lawyer in Hong Kong. I undertake that, if permitted to act as a foreign lawyer in Hong Kong, I shall not offer any legal services which, having regard to all the circumstances of the case, can properly be regarded as a service customarily provided by a solicitor as defined under section 2(1) of the Legal Practitioners Ordinance (Cap. 159) in his capacity as such. I will submit to the jurisdiction of the Law Society of Hong Kong, and will comply with the *Legal Practitioners Ordinance*, the Law Society Rules, the Practice Directions and the Hong Kong Solicitors' Guide to Professional Conduct, I consent to permit the Law Society to make inquiries on the information contained in this form of other lawyers, solicitors, governing bodies, etc.

Date: _____ Signature of Applicant: _____

THE LAW SOCIETY OF HONG KONG
REGISTERED FOREIGN LAWYER
FIRST REFERENCE*

This letter must be completed by a referee who is a member in good standing of the legal profession in the jurisdiction in which the applicant was admitted.

I, (full name of referee) _____;
CERTIFY THAT:

1. I am a member in good standing of the _____
(Law Society or Governing Body)
2. I am well acquainted with _____
(full name of applicant)
and have personally known him or her for _____ years;
3. he or she, in my opinion, is fit to practise in Hong Kong and has the necessary active practice experience.

Provide details of the applicant's work experience including the number of years and areas of practice, his or her fitness to practise in the jurisdiction in which the applicant is qualified to practise and any other information you may think is relevant.

_____ Date

_____ Signature

Address: _____

Telephone: _____

Fax: _____

* To be completed where the foreign firm with which the applicant will establish is not a branch of a firm whose principal place of business is outside Hong Kong.

THE LAW SOCIETY OF HONG KONG

REGISTERED FOREIGN LAWYER

SECOND REFERENCE*

This letter must be completed by a referee who satisfies either one of the following:

- (a) a member in good standing of the Law Society of Hong Kong; or
- (b) a member in good standing of the legal profession in the jurisdiction in which the applicant was admitted; or
- (c) a member of the judiciary in the jurisdictions specified in (a) or (b)

I, (full name of referee) _____;
CERTIFY THAT:

1. I am a member in good standing of the _____ /
(Law Society or Governing Body)
I am a member of the judiciary of _____
(jurisdiction)
2. I am well acquainted with _____
(full name of applicant)
and have personally known him or her for _____ years;
3. he or she, in my opinion, is fit to practise in Hong Kong and has the necessary active practice experience.

Provide details of the applicant's work experience including the number of years and areas of practice, his or her fitness to practise in the jurisdiction in which the applicant is qualified to practise and any other information you may think is relevant.

Date

Signature

Address: _____

Telephone: _____

Fax: _____

* To be completed where the foreign firm with which the applicant will establish is not a branch of a firm whose principal place of business is outside Hong Kong.

Personal Information Collection Statement

1. Your personal data collected using this form (“the data”) will be used by the Law Society for the purposes of exercising its powers under the Legal Practitioners Ordinance and its subsidiary legislation (Chapter 159) and administering or enforcing the relevant provisions of the said Ordinance and its subsidiary legislation, and for any other legitimate purposes as may be required, authorized or permitted by law.
2. The data may be disclosed to relevant government departments and related organizations and to persons in the Law Society with responsibility for carrying out the purposes mentioned in paragraph 1 above.
3. You have a right to request access to and correction of the data. Any such request should be addressed to the Secretary General, the Law Society of Hong Kong, 3/F, Wing On House, 71 Des Voeux Road Central, Hong Kong.