

3/F WING ON HOUSE, 71 DES VOEUX ROAD CENTRAL, HONG KONG DX-009100 CENTRAL 1 香港中環德輔道中71號 永安集團大厦 3字樓 TELEPHONE(電話) FACSIMILE(傳真) E-MAIL(電子郵件) HOMEPAGE(網頁) : (852) 2846 0500 : (852) 2845 0387 : <u>sg@hklawsoc.org.hk</u> : <u>http://www.hklawsoc.org.hk</u>

Index Reference :

Solicitors

CIRCULAR 17-627 (COM)

7 August 2017

Practising Certificate (Special Conditions) Rules

Notice of Intention to Apply for a Practising Certificate (2018)

- 1. The "*Notice of Intention to Apply for a Practising Certificate*" ("the Notice") required under Section 5 of the Practising Certificates (Special Conditions) Rules ("Rules") is not an application for a practising certificate.
- 2. The Notice should be completed and declared **only if**:
 - (a) you are applying for the issue of a practising certificate for the first time;
 - (b) you have been censured or ordered to pay a penalty or costs by the Solicitor Disciplinary Tribunal since you were last issued a practising certificate;
 - (c) you have failed or refused to give to the Society a sufficient and satisfactory explanation in respect of any matter relating to your conduct when invited to do so since you were last issued a practising certificate and have been notified in writing by the Society that you have so failed or refused;
 - (d) you have been suspended from practice since you were last issued a practising certificate and the period of your suspension has expired;
 - (e) you have had your name removed from or struck off the roll of solicitors since you were last issued a practising certificate and your name have been restored to the roll of solicitors;
 - (f) you have become bankrupt since you were last issued a practising certificate and have been discharged;
 - (g) you have had a monetary judgment (not being a judgment excepted in the Rules) given against you since you were last issued a practising certificate;

- (h) you have not been in full-time practice of law for two continuous years immediately prior to the giving of notice of intention to apply for a practising certificate (refer to circular <u>04-310 (SD)</u> for details); or
- (i) you were a principal in or a consultant of a firm at the time of an intervention of the firm by the Society during the preceding 18 months.
- 3. Solicitors to whom the Rules apply must give at least 6 weeks' notice to the Law Society before applying for their practising certificates.
- 4. Blank copies of the Notice can be collected from the reception counter of the Law Society or can be downloaded from the Law Society's website at <u>www.hklawsoc.org.hk</u> or by clicking <u>here</u>.
- 5. The completed Notices should be declared and returned to the Law Society by 6 October 2017. Late applications will delay the renewal of the Practising Certificate which will bear the date of issue and will not be backdated. Please be reminded that the Standing Committee on Compliance takes a very serious view of members practising while uncertified.
- 6. Applicants should declare their Notices before a solicitor of another firm or company in order for the solicitor taking the declaration to comply with Principle 13.09 of The Hong Kong Solicitors' Guide to Professional Conduct Volume 1, 3rd edition. Click here for Circular <u>00-264(SD)</u> on Principal 13.09. Any inappropriately declared form will be processed without prejudice to any conduct investigation into the matter.
- The Rules came into effect in February 2003. Please refer to Circulars <u>03-29(SD)</u> and <u>04-310(SD)</u> for details.